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## INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Complete if Known						
Application Number	10/627,447					
Filing Date	July 24, 2003					
First Named Inventor	HUANG, YADONG					
Art Unit	To Be Assigned 164/					
Examiner Name	To Be Assigned Laim					
Attorney Docket Number	UCAL-281					

			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
120		US- 5,492,812	02-20-1996	Voohels	
		US- 5,545,566	08-13-1996	Growden et al.	
$\neg \neg$		US- 5,631,168	05-20-1997	Growden et al.	
		US- 5,811,310	09-22-1998	Ghanbari et al.	
		US- 5,849,600	12-15-1998	Nixon et al.	
		US- 5,942,392	08-24-1999	Amouyel et al.	
		US- 5,972,634	10-26-1999	Tanzi et al.	
		US- 5,976,817	11-02-1999	Davies-Heerema et al.	
		US- 5,976,798	11-02-1999	Parker et al.	
		US- 5,981,208	11-09-1999	Tamburini et al.	
		US- 6,183,981	02-06-2001	Gonzalez-Lima	
		US- 6,287,793	09-11-01	Schenk et al.	
4		US- 6,300,085	10-09-2001	Alkon	
		US-			
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	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages						
Initials'	No.1	Country Code 3-Number 4-Kind Code 5 (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>5</sup>					
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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10/627,447 Application Number Filing Date July 24, 2003 First Named Inventor **HUANG, YADONG** Group Art Unit To Be Assigned Examiner Name To Be Assigned Attorney Docket Number **UCAL-281** 

Considered

Complete if Known

		OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),						
		Huang et al. (2001) <i>Proc. Natl. Acad. Sci. USA</i> 98:8838-8843						
1		Mahley and Huang (1999) Curr. Opin. Lipidol. 10:207-217						
		Corder et al. (1993) Science 261:921-923						
		Slooter et al. (1997) JAMA 277 :818-821						
7		Nicoll et al. (1996) Neuropethol. Appl. Neurobiol. 22:515-517						
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TRA	DEMAR				Application Number	10/627,447		
		INFORMATION DISCL	.os	URE	Filing Date	July 24, 2003		
		STATEMENT BY APP	LIC	ANT	First Named Inventor	Huang		
		_			Group Art Unit	1645		
		(use as many sheets as nec	çessa	pr)	Examiner Name	To Be Assigned		
	Sheet	1	of	1	Attorney Docket Number	UCAL-281		

U.S. PATENT DOCUMENTS								
Cite No. <sup>1</sup>		Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear				
	6,027,896	Duke University	02-22-2000					
	5,817,789	Transkaryotic	10-06-1998					
		Cite No.¹ Number Kind C (if known) 6,027,896	Cite No.¹  Number Kind Code² (if known)  Cite Number Kind Code²  Cite Number Kind Code²  Cite Number Kind Code²  Cite Number C	Cite No.1 Number Kind Code2 (if known) Name of Patentee or Applicant of Cited Documents MM-DD-YYYY  6,027,896 Duke University 02-22-2000				

				FC	REIGN PATENT DOCUME	NTS		
Examiner Initials'	Cite	Fore	ign Patent D		Name of Patentee or	Date of Publication	Pages, Columns, Lines,	T
	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Documents	of Cited Document  MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	τ°
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	Application Number		10627447
NEODMATION DIOCHOCKE	Filing Date		2004-07-24
INFORMATION DISCLOSURE	First Named Inventor	Huang	9 .
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		1645
	Examiner Name	Lam,	Α.
	Attorney Docket Number		UCAL-281
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U.S.PATENTS							Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue C	Date	of cited Document			ges,Columns,Lines where levant Passages or Relevant jures Appear		
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9/17/01

## **Application Number** 10627447 2004-07-24 Filing Date **INFORMATION DISCLOSURE** First Named Inventor Huang STATEMENT BY APPLICANT Art Unit 1645 ( Not for submission under 37 CFR 1.99) **Examiner Name** Lam, A. **UCAL-281** Attorney Docket Number If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute	for form 1449A/PTO	-		Complete if Known		
				Application Number	10/627,447	
l I	NFORMATION D	ISCLOSU	RE	Filing Date	July 24, 2003	
STATEMENT BY APPLICANT			NT	First Named Inventor	HUANG, YADONG	
				Art Unit	1641	
(use as many sheets as necessary)				Examiner Name	LAM, ANN Y	
Sheet	1	of	2	Attorney Docket Number	UCAL-281	
			11.6	DATENT DOCUMENTS		

xaminer Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
110		US- 5,716,828	02-10-1998	Roses et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>8</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
A		WO 02/38108	05-16-2002	The J. David Gladstone Institutes			
					<u> </u>	-	

Examiner Signature 2	Date Considered 917/06
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				Application Number	10/627,447	·
1	INFORMATION DIS	CLOSU	RF	Filing Date	July 24, 2003	
1	STATEMENT BY A			First Named Inventor	HUANG, YADONG	
				Art Unit	1641	
	(use as many sheets as necessary)			Examiner Name	LAM, ANN Y	
Sheet	2	of	2	Attorney Docket Number	UCAL-281	

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a		Huang Y et al. "Bioactive fragments of apolipoprotein E induce neurofibrillary tangles in cultured neurons" Abstracts of the Society for Neuroscience, Society for Neuroscience, Washington, DC, US Vol. 26, No. 1-2, 2000, pg. 1				
-						
Examiner		Date C1-1				
Signature		Considered 9/17/0-6				

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